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| APPLICATION NO.                           | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-------------|----------------------|---------------------|------------------|
| 10/078,493                                | 02/21/2002  | Richard M. Willems   | 032722-650          | 5968             |
| 46909                                     | 7590        | 11/16/2005           | EXAMINER            |                  |
| TERUMO CARDIOVASCULAR SYSTEMS CORPORATION |             |                      | BROWN, VERNAL U     |                  |
| 6200 JACKSON ROAD                         |             |                      | ART UNIT            |                  |
| ANN ARBOR, MI 48103                       |             |                      | PAPER NUMBER        |                  |
|   |             |                      | 2635                |                  |

DATE MAILED: 11/16/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**Application No. ☒

10/078,493

Applicant(s)

WILLEMS ET AL.

Examiner

Vernal U. Brown

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 21 February 2002.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-43 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-43 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 21 February 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)

Paper No(s)/Mail Date 6/1/04 & 9/2/04

- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

### **DETAILED ACTION**

The application of Richard Willems for Network Communication and Message Protocol for a Medical Perfusion System filed on 2/21/2002 has been examined. Claims 1-43 are pending.

#### ***Double Patenting***

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 1-43 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-15 of U.S. Patent No. 5813972. Although the conflicting claim is not identical, they are not patentably distinct from each other.

Although the conflicting claim is not identical, they are not patentably distinct from each other because the instant claims are generally broader than the claims in the patent. Broader claims in a later application constitute obvious double patenting of narrow claims in an issued patent. See *in re Van Ornum and Stang*, 214, USPQ 761, 766, and 767 (CCPA) (the court sustained an obvious double patenting rejection of generic claims in a continuation application

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over narrower species claims in an issued patent); in re Vogel, 164 USPQ 619, 622 and 623 (CCPA 1970) (generic application claim specifying "meat" is obvious double patenting of narrow patent specifying "pork")

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1 and 5-7, 9, 17-21, 23-24, 27-29, 30-32, and 36-41, are rejected under 35 U.S.C. 103(a) as being unpatentable over Sites et al. US Patent 5730720 in view of Aggers US Patent 4949337.

Regarding claims 1, 9, 17, 27, 30, Sites et al. teaches a medical perfusion system (figure 2A) comprising a plurality of perfusion devices (310, 320, 370), a communication bus connecting each of the plurality of devices (figure 2A); means (111) for broadcasting a message (control signals) to the perfusion device (col. 11 lines 59-65). Sites et al. is however silent on teaching the broadcast message contains a data portion which identifies the message as being one of a number of predefined message types. Aggers et al. in an art related communication system teaches a plurality of communication devices communicating over a common communication bus (figure 1) and the broadcasting of messages to the devices on the communication bus (col. 5 lines 33-39). Aggers et al. also teaches messages transmitted over the communication bus includes a data portion which identifies the message as being one of a number of predefined

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message types as shown in the message structure of figure 3 in order for the devices to readily identify the purpose of the received message and to facilitate the proper processing of the broadcast message

It would have been obvious to one of ordinary skill in the art for the broadcast message in Sites et al. to include a data portion which identifies the message as being one of a number of predefined message types in Sites et al. as evidenced by Aggers et al. because Sites et al. teaches broadcasting message over a communication bus to a number of perfusion devices and Aggers et al. teaches including a data portion which identifies the message as being one of a number of predefined message types in order for the receiving device to determine the types of message sent so as to enable proper processing of the broadcast message.

Regarding claims 5-6, 18, 23-24, Sites teaches transmitting configuration type message to the perfusion device as indicated by the process 206 in figure 2 and the message also serves as a trigger signal because it activates the pump..

Regarding claims 7, 19, 28, Sites teaches means for adjusting an output as a function of the feedback data by adjusting the pressure based on the value of the pressure read as illustrated in figure 2.

Regarding claim 20, Sites teaches the perfusion device is sensing various biological measurements (col. 6 lines 1-26).

Regarding claim 21, 29, 31-32 Sites et al. teaches means for storing the configuration data for network connection (col. 7 lines 40-43) and the configuration data for networked device inherently includes the network address. The network address further serve as a filter for ensuring that the networked device only respond to message address to it.

Regarding claims 36-38, Sites et al. teaches a medical perfusion system (figure 2A) comprising a plurality of perfusion devices (310, 320, 370), a communication bus connecting each of the plurality of devices (figure 2A); means (111) for broadcasting a message (control signals) to the perfusion device (col. 11 lines 59-65). Sites teaches the trigger source include a means for broadcasting data concerning the existent of a certain condition by transmitting control signal to alter the performance of the perfusion device (col. 11 lines 56-65). Sites et al. is however silent on teaching the broadcast message contains a data portion which identifies the message as being one of a number of predefined message types. Aggers et al. in an art related communication system teaches a plurality of communication devices communicating over a common communication bus (figure 1) and the broadcasting of messages to the devices on the communication bus (col. 5 lines 33-39). Aggers et al. also teaches messages transmitted over the communication bus includes a data portion which identifies the message as being one of a number of predefined message types as shown in the message structure of figure 3 in order for the devices to readily identify the purpose of the received message and to facilitate the proper processing of the broadcast message

It would have been obvious to one of ordinary skill in the art for the broadcast message in Sites et al. to include a data portion which identifies the message as being one of a number of predefined message types in Sites et al. as evidenced by Aggers et al. because Sites et al. teaches broadcasting message over a communication bus to a number of perfusion devices and Aggers et al. teaches including a data portion which identifies the message as being one of a number of predefined message types in order for the receiving device to determine the types of message sent so as to enable proper processing of the broadcast message.

Regarding claim 39-41, Sites et al. teaches means for storing the configuration data for network connection (col. 7 lines 40-43) and the configuration data for networked device inherently includes the network address. The network address further serve as a filter for ensuring that the networked device only respond to message address to it. Sites teaches transmitting configuration type message to the perfusion device as indicated by the process 206 in figure 2 and the message also serves as a trigger signal because it activates the pump.

Claims 2-3, 10, 13-15, 33 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sites et al. US Patent 5730720 in view of Aggers US Patent 4949337 and further in view of Grudowski et al. US Patent 4319338.

Regarding claims 2-3, 10, 33 Sites et al. teaches transmitting message over a network to a perfusion device and Aggers teaches the message includes a data portion which identifies the message as being one of a number of predefined message types (see response to claim 1 above). Sites et al. in view of Aggers is however silent on teaching the data portion of the message defines a priority level based on the message type. Grudowski et al. in an art related communication system teaches assigning priority level to a message (col. 13 lines 32-35) as a means of optimizing the use of the devices on the network.

It would have been obvious to one of ordinary skill in the art to for the data portion of the message to define a priority level in Sites et al. in view of Aggers as evidenced by Grudowski et

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al. because Sites et al. teaches transmitting message over a network to a perfusion device and Aggers teaches the message includes a data portion which identifies the message as being one of a number of predefined message types and Grudowski et al. teaches assigning priority level to a message (col. 13 lines 32-35) as a means of optimizing the use of the devices on the network.

Regarding claims 13-14, Sites teaches transmitting configuration type message to the perfusion device as indicated by the process 206 in figure 2 and the message also serves as a trigger signal because it activates the pump..

Regarding claim 15, Sites teaches means for adjusting an output as a function of the feedback data by adjusting the pressure based on the value of the pressure read as illustrated in figure 2.

Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over Sites et al. US Patent 5730720 in view of Aggers US Patent 4949337 and further in view of Milling US Patent 4628311.

Regarding claim 4, Sites et al. in view of Aggers teaches perfusion device connected by a network (see response to claim 1) but is silent on teaching the data portion of the message over the network defines a priority level based on the first perfusion device or the network address. Milling in an art related network system invention teaches the priority level of a networked device based on the network address assigned to the device and the priority is also base on the device (col. 4 lines 60-col. 5 line 11) in order to ensure that data is transmitted to the networked devices in order of their priority and further efficiently use the shared network resources.



It would have been obvious to one of ordinary skill in the art for the data portion of the message over the network defines a priority level based on the first perfusion device or the network address in Sites et al. in view of Aggers as evidenced by milling because Sites et al. in view of Aggers suggests perfusion device connected by a network and Milling teaches having a priority based on the networked address in order to ensure that data is transmitted to the networked devices in order of their priority and further efficiently use the shared network resources.

Claims 8 and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sites et al. US Patent 5730720 in view of Aggers US Patent 4949337 and further in view of Rowett US Patent 5448723.

Regarding claims 8 and 26, Sites et al. in view of Aggers teaches perfusion device connected by a network (see response to claim 1) but is silent on teaching the message is broadcast from a second perfusion device when the network controller is unavailable. One skilled in the art recognizes that when the network controller is unavailable another networked device on the communication bus is sometimes configured to broadcast messages as evidenced by Rowett (col. 2 lines 14-25) so as to allow the continued operation of the networked devices when the network controller fail.

It would have been obvious to one of ordinary skill in the art for the message to be broadcast from a second perfusion device when the network controller is unavailable because Sites et al. in view of Aggers suggests perfusion device connected by a network and one skilled in the art recognizes that when the network controller is unavailable another networked device on

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the communication bus is sometimes configured to broadcast messages as evidenced by Rowett (col. 2 lines 14-25) so as to allow the continued operation of the networked devices when the network controller fail.

Claims 11-12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sites et al. US Patent 5730720 in view of Aggers US Patent 4949337 and further in view of Grudowski et al. US Patent 4319338 and further in view of Milling US Patent 4628311.

Regarding claims 11-12, Sites et al. in view of Aggers teaches perfusion device connected by a network (see response to claim 1) but is silent on teaching the data portion of the message over the network defines a priority level based on the first perfusion device or the network address. Milling in an art related network system invention teaches the priority level of a networked device based on the network address assigned to the device and the priority is also base on the device (col. 4 lines 60–col. 5 line 11) in order to ensure that data is transmitted to the networked devices in order of their priority and further efficiently use the shared network resources.

It would have been obvious to one of ordinary skill in the art for the data portion of the message over the network defines a priority level based on the first perfusion device or the network address in Sites et al. in view of Aggers in view of Grudowski et al. as evidenced by Milling because Sites et al. in view of Aggers suggests perfusion device connected by a network and Milling teaches having a priority based on the networked address in order to ensure that data is transmitted to the networked devices in order of their priority and further efficiently use the shared network resources.

Claim 16 is rejected under 35 U.S.C. 103(a) as being unpatentable over Sites et al. US Patent 5730720 in view of Aggers US Patent 4949337 and further in view of Grudowski et al. US Patent 4319338 and further in view of Rowett US Patent 5448723.

Regarding claim 16, Sites et al. in view of Aggers teaches perfusion device connected by a network (see response to claim 1) but is silent on teaching the message is broadcast from a second perfusion device when the network controller is unavailable. One skilled in the art recognizes that when the network controller is unavailable another networked device on the communication bus is sometimes configured to broadcast messages as evidenced by Rowett (col. 2 lines 14-25) so as to allow the continued operation of the networked devices when the network controller fail.

It would have been obvious to one of ordinary skill in the art for the message to be broadcast from a second perfusion device when the network controller is unavailable because Sites et al. in view of Aggers in view of Grudowski et al. suggests perfusion device connected by a network and one skilled in the art recognizes that when the network controller is unavailable another networked device on the communication bus is sometimes configured to broadcast messages as evidenced by Rowett (col. 2 lines 14-25) so as to allow the continued operation of the networked devices when the network controller fail.

Claims 22, 25, 34-35, and 42-43 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sites et al. US Patent 5730720 in view of Aggers US Patent 4949337 and further in view of Hamlin US Patent 5574964.

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Regarding claims 22, 25, 34, and Sites et al. in view of Aggers teaches perfusion device connected by a network (see response to claim 1) and an adaptor pod is inherently included in a device that is connected to a wired networked but is silent on teaching an adaptor pod comprising means for storing the configuration data and the configuration data includes the network address. Hamlin in an art related signal distribution system teaches an adaptor pod storing the network address (col. 4 lines 18-22, col. 4 lines 41-45).

It would have been obvious to one of ordinary skill in the art to store the network address in the adaptor pod in the networked perfusion device because storing the networked address in the adaptor pod is a convenient and efficient means of storing the network address.

Regarding claim 35 and 42-43, Sites et al. teaches means for storing the configuration data for network connection (col. 7 lines 40-43) and the configuration data for networked device inherently includes the network address. The network address further serve as a filter for ensuring that the networked device only respond to message address to it.

### ***Conclusion***

The prior art of made of record and not relied upon is considered pertinent to applicant's disclosure.

U.S. Patent 5524213 to Dais et al.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Vernal U. Brown whose telephone number is 571-272-3060. The examiner can normally be reached on 8:30-7:00 Monday-Thursday.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Horabik can be reached on 571-272-3068. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Vernal Brown  
November 9, 2005



BRIAN ZIMMERMAN  
PRIMARY EXAMINER